

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Inventors: Steven Bristow, Richard Bentley, Bruce Durham, Gregory Delory  
Serial No.: Not Yet Assigned  
Filing Date: April 16, 2001  
Title: SOFTWARE AND PROTOCOL STRUCTURE FOR AN AUTOMATED USER NOTIFICATION SYSTEM

J1046 U.S. PTO  
09/837738

04/16/01

## UTILITY PATENT APPLICATION &amp; FEE TRANSMITTAL

(for nonprovisional applications under 37 CFR § 1.53(b))

Assistant Commissioner for Patents  
BOX PATENT APPLICATION  
Washington, D.C. 20231

Sir:

Transmitted herewith for filing in connection with the above-identified patent application are the following:

## I. Elements of the Application

- ☒ Application [ 1 ] page(s) of Cover Sheet  
[ 41 ] page(s) of Specification  
[ 11 ] page(s) of Claims (100 claims)  
[ 1 ] page(s) of Abstract  
[ 19 ] sheets of Drawings [ ] Formal [X] Informal
- ☒ Declaration [ ] page(s)  
[X] Unexecuted [ ] Executed [ ] Copy from prior application (37CFR § 1.63(d))
- ☐ Deletion of Inventors: [ ] page(s) of signed statement deleting inventor(s) (37CFR § 1.63(d) & 1.33(b))
- ☐ Request and Certification Under 35 U.S.C. 122(b)(2)(B)(i)
- ☐ Nucleotide and/or Amino Acid Sequence Submission:  
[ ] page(s) of Sequence Listing (paper copy)  
[ ] disk(s) containing Sequence Listing (computer readable copy)  
[ ] page(s) of Statement Under 37 CFR 1.821(f)
- ☐ Microfiche Computer Program Appendix \_\_\_\_\_

## II. Claim for U.S. Priority

- ☐ This application is a ☐ Continuation ☐ Divisional ☐ Continuation-in-Part of Prior Application No. \_\_\_\_\_ filed \_\_\_\_\_. (35 USC § 120)
- ☐ This application claims the benefit under 35 U.S.C. § 119(e) of United States Application Serial No. 60/198,112 filed April 17, 2000. (Provisional Application)
- ☐ The entire disclosure of the prior application referenced above, is considered as being part of the application filed herewith and is herein incorporated by reference in its entirety.

## III. Claim for Foreign Priority

- ☐ This application claims the benefit under 35 USC § 119 of Prior Application No. \_\_\_\_\_ filed \_\_\_\_\_.
- ☐ Certified Copy of Priority Document [ ] page(s)
- ☐ English Translation of Priority Document [ ] page(s)

CERTIFICATE OF MAILING  
I hereby certify that this is being deposited with the United States postal service "Express Mail Post Office to Addressee" bearing Express Mailing Number EL710236861US service under 37 CFR 1.10 on the date indicated below, addressed as follows: Box Patent Application, Assistant Commissioner for Patents, Washington, DC 20231.

Dated: April 16, 2001

Caroline Pfah

**IV. Accompanying Documents**

- ☐ Assignment Papers [ ] page(s) of Recordation Cover Sheet [ ] page(s) of Assignment
- ☒ Applicant(s) claims Small Entity Under 37 CFR § 1.27 [ ] Verified Small Entity Statement was previously filed in Prior Application No. \_\_\_\_\_ on \_\_\_\_\_.
- ☐ Information Disclosure Statement [ ] page(s) of PTO-1449 [ ] copies of IDS References
- ☐ A Preliminary Amendment [ ] page(s)
- ☐ A copy of a Petition for Extension of Time ( \_ mos.) filed simultaneously in Prior Application No. \_\_\_\_\_
- ☐ A copy of a Submission of Processing & Retention Fee (37 CFR § 1.78(a)(1) which is being filed simultaneously in Prior Application No. \_\_\_\_\_
- ☐ Other \_\_\_\_\_ (specify)
- ☐ Return Receipt Postcard (MPEP 503) (*Should be specifically itemized*)

**V. Fee Calculation**

- ☒ The following fees are submitted:

**CALCULATIONS**

		OTHER THAN SMALL ENTITY	SMALL ENTITY	\$
<b>BASIC NATIONAL FILING FEE</b>		\$ 710.00	\$ 355.00	<b>\$ 355.00</b>
<b>EXTRA CLAIMS FEE</b>				
<b>CLAIMS</b>	<b># FILED</b>	<b># EXTRA</b>	<b>RATE</b>	<b>RATE</b>
Total Claims	100 - 20 =	80	× \$18.00	× \$ 9.00
Independent claims	8 - 3 =	5	× \$80.00	× \$40.00
<b>MULTIPLE DEPENDENT CLAIM(S)</b>				
<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		\$270.00	\$135.00	\$
Fee for recordation of the enclosed assignment (37 CFR 1.21(h), 3.28, 3.31).				
_____ (Numbers of Applications) × \$40.00				\$
<b>OTHER FEES</b> _____ (specify)				\$
<b>OTHER FEES</b> _____ (specify)				\$
<b>TOTAL FEES =</b>				<b>\$1275.00</b>

- a. ☐ A check in the amount of \$ \_\_\_\_\_ to cover the above fees is enclosed.
- b. ☒ Please charge Deposit Account No.: 501193, Docket No. 22962-7005, in the amount of \$1275.00 to cover the above fees. *A duplicate copy of this sheet is enclosed.*
- c. ☒ The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 501193, Docket No. 22962-7005  
*A duplicate copy of this sheet is enclosed*

SEND ALL CORRESPONDENCE TO:

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Roger Sampson  
NAME

DATE

4-16-2001  
REGISTRATION NUMBER